

FORM PTO-1449 (Rev. 2-32) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Information Disclosure Statement by Applicant (Use several sheets if necessary)	ATTY. DOCKET NO. WIL-101US	SERIAL NO 09/982440 Not yet assigned
	APPLICANT William T. Wilkinson	
	FILING DATE Herewith	GROUP Unknown 3626

 11046 U.S. PTO
 09/982440
 10/18/01

U.S. PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
CB	5,991,751	11/23/99	Rivette et al.	707	1	
	5,999,907	12/07/99	Donner	705	1	
	6,018,714	01/25/00	Risen et al.	705	4	
	6,154,725	11/28/00	Donner	705	1	
CB	6,263,314	07/17/01	Donner	705	1	

FOREIGN PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Country	Class	Sub Class	Translation YES NO

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

CB	1)	Book review for <i>Valuing Intangible Assets</i> , by Robert F. Reilly et al. (McGraw-Hill, 1998) as posted on amazon.com
	2)	"Valuing Technology in New Applications," by Mike Hruby et al.; <i>les Nouvelles Journal of the Licensing Executives Society</i> as posted on technology-marketing.com/Publications/les.html
	3)	"Intellectual property: it's not just a fashion, it's the future" by Sharyn Ch'ang, (1998) 36 (5) LSJ 56
	4)	"Intellectual Property Valuation"; micromonics.com
	5)	"Intellectual Property Due Diligence for the Buyer or Seller of a Business", reinhartlaw.com/Articles
	6)	"Intellectual Property Due Diligence in Corporate Transactions: Risk Assessment, Investment, and Management"; West Group News Release (July 22, 1998);
	7)	"Checklist for Due Diligence in Intellectual Property Transactions"; Mary Ann Tucker, michbar.org
	8)	"Intellectual Property Assets as Sources of Potential Corporate Profits"; Daniel R. Bereskin.; (Dec. 1993)
	9)	"The Right Hoops, Carrying Out Effective IP Due Diligence," Richard Raysman, <i>The Intellectual Property Strategist</i> , (Dec. 1996)
	10)	<i>Assessing a Company's Most Valuable Assets: Conducting an Intellectual Property Audit</i> ; Baila H. Celedonia; cll.com/articles
	11)	Book summary of <i>Intellectual Property Rights and Their Evaluation</i> ; Peter J. Groves (1997) as posted on woodhead-publishing.com/finance/banking/new/intellect.html
CB	12)	U.S. Patent Application titled "METHOD FOR AUDITING INTELLECTUAL PROPERTY," filed October 11, 2001, by Will Wilkinson, claiming priority on U.S. Provisional Application Serial No. 60/240,135

Examiner



Date Considered

10-19-05

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Information Disclosure Statement by Applicant (Use several sheets if necessary)	ATTY. DOCKET NO. WIL-101US	SERIAL NO 09/982,440
	APPLICANT William T. Wilkinson	
	FILING DATE October 18, 2001	GROUP 2161 3626

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 GROUP 2

U.S. PATENT DOCUMENTS

Exmr Initial		Document Number	Date	Name	Class	Sub Class	Filing Date

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Exmr Initial		Document Number	Date	Country	Class	Sub Class	Translation YES NO

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B ↓ C/S		1)	Pavri, Zareem, "VALUATION OF INTELLECTUAL PROPERTY ASSETS," Pricewaterhouse Coopers, 04/199, 30 pages, retrieved from Google.com
		2)	Clemen, Robert T., "MAKING HARD DECISIONS," Duxbury Press, 1996, 2 nd Edition, pages 28-31
		3)	DeSouza, Glenn, "ROYALTY METHODS FOR INTELLECTUAL PROPERTY," Business Economics, 4/1997, Vol. 32, No. 2, 8 pages, retrieved from Dialog
		4)	Mard et al., "INTELLECTUAL PROPERTY VALUATION," The Financial Valuation Group, 4/2/2000, 20 pages, retrieved from Google.com
		5)	Rabe et al., "VALUATION OF INTANGIBLE ASSETS FOR PROPERTY TAX PURPOSES," The National Public Accountant, 04/1994, Vol. 39, Issue 4, 7 pages, retrieved from Proquest
		6)	Robinson et al., "HOW TO MEASURE AN ORGANIZATION'S INTELLECTUAL CAPITAL," Managerial Auditing Journal, 1996, Vol. 11, No. 8, 4 pages, retrieved from Dialog

Examiner	<i>CR</i>	Date Considered	10-19-05
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U. S. DEPARTMENT OF
COMMERCE
PATENT AND TRADEMARK
OFFICE

(Rev. 2-32)

Information Disclosure
Statement by Applicant

(Use several sheets if necessary)

ATTY. DOCKET NO.

WIL-101US

SERIAL NO

09/982,440

APPLICANT

William T. Wilkinson

FILING DATE

October 18, 2001

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GROUP 3600

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Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
CB	6,157,947	12/2000	Watanabe et al.	709	217	
CB	6,219,674 B1	04/2001	Classen	707	104	
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Exmr Initial	Document Number	Date	Country	Class	Sub Class	Translation YES NO

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APR 26 2002

Technology Center 2100

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

CB	1)	U.S. Patent Application Serial No. 09/797,930, titled "INTELLECTUAL PROPERTY FINANCIAL MARKETS METHOD SYSTEM," filed March 2, 2001
CB	2)	U.S. Patent Application Serial No. 09/593,576, titled "INTELLECTUAL PROPERTY VALUATION PROCESS," filed June 14, 2000,
CB	3)	U.S. Patent Application Serial No. 10/007,205, titled "INTELLECTUAL PROPERTY COMMERCIALIZATION METHOD," filed November 9, 2001
CB	4)	U.S. Patent Application Serial No. 09/953,576, titled "INTELLECTUAL PROPERTY INVESTMENT PROCESS," filed June 14, 2000

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